This Page Is Inserted by IFW Operations and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

As rescanning documents will not correct images, please do not report the images to the Image Problem Mailbox.

THIS PAGE BLANK (USPTO)

PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁶:

C07F 9/09, 9/22, C10M 137/08, 141/10 //

(C10M 141/10, 133:06, 133:44, 135:20, 137:02, 137:04, 137:08), C10N 30:06, 40:04, 40:08

(11) International Publication Number:

WO 95/20592

(43) International Publication Date:

3 August 1995 (03.08.95)

(21) International Application Number:

PCT/GB95/00148

A1

(22) International Filing Date:

26 January 1995 (26.01.95)

(30) Priority Data:

9401710.0

29 January 1994 (29.01.94)

GB

(71) Applicant (for all designated States except US): CASTROL LIMITED [GB/GB]; Burmah Castrol House, Pipers Way, Swindon, Wiltshire SN3 1RE (GB).

(72) Inventor; and

- (75) Inventor/Applicant (for US only): COATES, David, Anthony [GB/GB]; 6 Abbots Mead, Cholsey, Oxfordshire OX10 9RJ (GB).
- (74) Agent: EYLES, Winifred, J.; Burmah Castrol Trading Limited, Burmah Castrol House, Pipers Way, Swindon, Wiltshire SN3 1RE (GB).

(81) Designated States: AM, AT, AU, BB, BG, BR, BY, CA, CH, CN, CZ, DE, DK, EE, ES, FI, GB, GE, HU, JP, KE, KG, KP, KR, KZ, LK, LR, LT, LU, LV, MD, MG, MN, MW, MX, NL, NO, NZ, PL, PT, RO, RU, SD, SE, SI, SK, TJ, TT, UA, US, UZ, VN, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG), ARIPO patent (KE, MW, SD, SZ).

Published

With international search report.

- (54) Title: ANTI-WEAR ADDITIVES AND THEIR USE
- (57) Abstract

A zinc-free anti-wear additive composition for an industrial fluid comprises as an active anti-wear ingredient at least one novel amine phosphate which is the reaction product of at least one <u>n</u>-heptyl acid phosphate with at least one aliphatic amine having at least 9 carbon atoms.

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	GB	United Kingdom	MR	Mauritania
ΑŪ	Australia	GE	Georgia	MW	Malawi
BB	Barbados	GN	Guinea	NE	Niger
BE	Belgium	GR	Greece	NL	Netherlands
BF	Burkina Faso	HU	Hungary	NO	Norway
BG	Bulgaria	IE	Ireland	NZ	New Zealand
BJ	Benin	IT	Italy	PL	Poland
BR	Brazil	JP	Japan	PT	Portugal
BY	Belarus	KE	Kenya	RO	Romania
CA	Canada	KG	Kyrgystan	RU	Russian Federation
CF	Central African Republic	KP	Democratic People's Republic	SD	Sudan
CG	Congo		of Korea	SE	Sweden
CH	Switzerland	KR	Republic of Korea	SI	Slovenia
CI	Côte d'Ivoire	KZ	Kazakhstan	SK	Slovakia
CM	Cameroon	LI	Liechtenstein	SN	Senegal
CN	China	LK	Sri Lanka	TD	Chad
CS	Czechoslovakia	LU	Luxembourg	TG	Togo
CZ	Czech Republic	LV	Latvia .	TJ	Tajikistan
DE	Germany	MC	Monaco .	TT	Trinidad and Tobago
DK	Denmark	MD	Republic of Moldova	UA	Ukraine
ES	Spain	MG	Madagascar .	US	United States of America
FI	Finland	ML	Mali	U2	Uzbekistan
FR	France	MN	Mongolia	VN	Viet Nam
GA	Gahan		-		*

- 1 -

ANTI-WEAR ADDITIVES AND THEIR USE

This invention relates to certain new materials capable of imparting anti-wear properties to industrial fluids such as hydraulic fluids and gear oils.

It is known that zinc-based additives can be included in industrial fluids such as gear oils to impart high performance wear resistance. However, it is desired to move away from the use of materials containing zinc which cause problems in use, such as hydrolytic stability, to additives which are zinc free and ashless. While certain phosphorus containing additives, such as tri-alkyl or tri-aryl phosphates, have been proposed for other purposes in industrial fluids, there has been nothing, in any way, to suggest their use as anti-wear additives which would be capable of matching or improving upon the performance of the presently employed zinc-based additives.

Accordingly, the present invention provides a zinc-free anti-wear additive composition for an industrial fluid comprising as an active anti-wear ingredient an amine phosphate which is the reaction product of at least one <u>n</u>-heptyl acid phosphate with at least one aliphatic amine having at least 9 carbon atoms, preferably at least 12 carbon atoms.

The <u>n</u>-heptyl acid phosphate may be the monoheptyl phosphate or diheptylphosphate or a mixture thereof, suitably a mixture of about 50% mono and 50% diheptyl phosphate.

The aliphatic amine preferably has at least 12 carbon atoms. The aliphatic moiety is preferably an alkyl, alkenyl or alkoxyalkyl group. The amine is preferably a \underline{p} -amine. Suitable amines are the C_{12-14} alkylamine available commercially as "Primene 81R" from the Rohm and Haas Company

or the C_{18} alkenylamine of formula $H_2N(CH_2)_7 = (CH_2)_{11}$ available commercially as "Armeen O" from Akzo Chemie Nederland BV, or "Radiamine 6172" from Petrofina s.a., Belgium. Alternatively, an alkoxyalkylamine such as 3-isononyloxypropylamine (available from Hoechst AG) may be employed.

The amine phosphate active ingredients based on C_7 acid phosphates are new <u>per se</u> and are, therefore, included within the scope of the invention.

The additive composition of the invention can be employed in a variety of base fluids including conventional mineral based fluids, such as BP Virgin, or may also be used in natural or synthetic ester based fluids.

The concentration of the amine phosphate ingredient will be selected dependent on the base fluid chosen and the intended use of the fluid. It has been found that, for hydraulic use, using a mineral base fluid, a preferred concentration is at least about 0.05 and preferably at least 0.09 percent by weight, while for gear oil use, the preferred concentration of amine phosphate is at least about 0.09 and preferably at least 0.17 percent by The upper limit of a satisfactory concentration range can be weight. established dependent on economic considerations and required performance.

The additive composition may contain a wide variety of additives in addition to the amine phosphate. Thus, dependent on the end use, the composition may contain a polysulphide load carrying and anti-wear material. Other additives which may be used include dialkylhydrogen phosphite or tri-alkyl or tri-aryl phosphates (for the purpose of load carrying and anti-wear), copper passivators, such as alkyl dithiadiazoles and benzotriazole derivatives, alkyl p-amines (for the purpose of corrosion inhibition and anti-wear), antifoam

agents such as silicon based or non-silicon Mobilad C402 and anti-oxidants such as 2,6-di-tert-butyl-4-methyl phenol, Additin 10 from Rhein Chemie Rheinau GmbH and Irganox L57 from Ciba-Geigy Industrial Chemicals. The exact composition will depend on the intended use and nature of the base fluid.

The amine phosphate may be prepared by directly reacting the amine with the N-heptyl acid phosphate (preferably in substantially equimolar proportions), followed by cooling of the reaction mixture which is exothermic. The product is then incorporated with the other additive components to produce an additive composition for incorporation at appropriate concentration in the base fluid.

Alternatively, the amine phosphate may be prepared in situ by separately adding to a suitable diluent the acid phosphate and the amine and blending, suitably at elevated temperature, for example, about 60°C before adding the remaining additives, thus avoiding any problems of exothermicity. The diluent may be the, or one or more of the, major components of the composition, suitably a polysulphide component but it will be appreciated that the diluent may be, for example, the ultimately intended base fluid, such as BP base fluid. Preferably the composition includes a dialkyl hydrogen phosphite which is suitably added after the other additives such as copper passivators, corrosion inhibitors, anit-foam agents and antioxidants.

The invention will now be illustrated with reference to the following examples.

- 4 -

Example 1 Preparation of C₁₂₋₁₄-amine n-heptyl phosphate

Projected

Formula:

$$\left(\begin{array}{c}
RQ & O \\
(R \text{ or H}) O & O
\end{array}\right)^{-} \left(\begin{array}{c}
C \\
H_3N-C-C \\
C
\end{array}\right)^{+}$$

or

$$\left(\begin{array}{c}
RQ & O \\
O & O
\end{array}\right)^{2} \quad \left(\begin{array}{c}
C \\
H_3N-C-C \\
C
\end{array}\right)^{+}$$

 $R = C_7 H_{14}$

C = C₁₂ - C₁₄ range

Primene 81R (a $C_{12\cdot14}$ amine available from Rohm and Haas) was added to a glass lined (or stainless steel) vessel. <u>n-Heptyl acid</u> phosphate (available from Albright and Wilson) or Elf Atochem was added slowly with stirring. The two reactants were employed in the ratio of 59.7% <u>n-heptyl acid</u> phospate to 40.3% Primene 81R.

The reaction was exothermic. Blending was continued for at least 30 minutes and the reaction mixture allowed to cool.

The resulting product was a light yellow liquid which was characterised by the infrared spectrum (measured by KBr disc) given in Figure 1 and the analytical data below:

Phosphorus

6.80 to 8.49%

Nitrogen

2.82 to 3.23%

Density (20°C)

0.96 g/ml approx.

Flash point (PMC)

92°C min.

Viscosity (100°C)

48 mm²/sec (typical)

- 5 -

Exampl 2 Preparation of additive composition.

An additive composition suitable for use in a hydraulic fluid or gear oil was prepared by the following alternaitve methods:

A) To a warmed solution of polysulphide (TPS 32 available from Elf Atochem) to which was dissolved the antioxidant (Additin 10), there was added the <u>n</u>-heptyl amine phosphate prepared as described in Example 1 above and other additives as given below followed by blending for a minimum of 30 minutes and cooling.

B) Polysulphide and Additin 10 were added to a blend vessel to which was further added n-heptyl acid phosphate at 6.23% and Primene 81R at 4.20%. The reaction mixture was heated to a maximum temperature of 60°C and blending continued to homogeneity and until all the antioxidant (Additin 10) was dissolved. The remaining additives, as given below, were then added, blending continued for a minimum of 30 minutes and the mixture cooled.

The resulting additive composition can be used (depending on the application) as follows in terms of a percentage range (by weight):

	Range	Example
Polysulphide	50 to 75	61.35
Amine phospate	4 to 28	10.43
Dialkyl hydrogen phosphite	4 to 28	6.14
(e.g. dibutyl hydrogen phosp	hite)	
Copper passivator	6 to 17	3.68
(e.g. thiadiazole passivator)		
Alkyl p-amine	4 to 28	7.06
Anti-foam agent	0 to 1	0.30
(e.g. Mobilad C405)		

- 6 -

Antioxidants

0 to 12

11.04

(e.g. phenolic/aminic mixture)

The additive composition was obtained in both methods as a yellow amber oily liquid, homogeneous, clear and bright. The infra red spectrum for the product from methods A) and B) is given in Figure 2.

The analytical data is:

Phosphorus

1.50 to 1.94%

Nitrogen

0.99 to 1.30%

Sulphur

17.2 to 23.3%

Density

0.98 g/ml (typical)

Flash Point (PMC)

50°C (min)

Viscosity 100/40°C

10/143c St ·

Example 3 Use of Additive Composition in Hydraulic Oils

The additive composition described in Example 2 above was blended into base fluids as given in Table 1 below to give two ISO VG 46 to 68 hydraulic oils and subjected to a series of standard tests as given in the Table. It is to be noted that the test results are of the level not normally expected for zinc free ashless compositions. The additive composition has provided a high standard hydraulic oil performance and, in particular, very low wear rates in the Vane pump test.

- 7 -TABLE 1

Base Fluid	Test Ref.	Fluid 1	Fluid 2	Fluid 3
		BP Virgin	BP Virgin	Re-refined
				mineral oil
Additive Comp		0.80 wt. %	0.80 wt. %	0.90 wt. %#
Viscosity cSt @ 40°C	IP 71	47.3	68	46
Steel Corrosion	IP 135	Pass A+B	Pass A+B	Pass A+B
Copper Corrosion	IP 154	1b	1b	1b
TOST (1000 hr,	DEF STAN			
MG koh/gm increase)	0550/57	1.20*		0.24
Foam 1 mis/mls @ sec	IP 146	0	О	0
2 *		20/0 @ 5	20/0 @ 3	20/0 @ 5
3 •			0	0
Timken O.K. Load (lb)	IP 240	50		
FZG stage	CEC	pass 11,		pass 12
	L-07-A-85	fail 12		
Vane Pump	IP 218			
Ring wt. loss mg		5.1 (120 max)		21.7
Vane wt. loss mg		0.8 (30 max)		16.4
Wt. loss, mg/hr	IP 281	0.00236		0.15

- * This formulation contained an additional 0.1% antioxidant
- # Additive composition of Example 2 plus additional 0.1% antioxidant.

Example 4 Use of Additive Composition in Gear Oils

The additive composition described in Example 2 above without antioxidants was blended into base fluids as given in Tables 2 and 3 below to give gear oils in the ISO 100 to ISO 320 range. The blends were subjected to a series of standard tests as given in the Tables.

TABLE 2

Test	Units	180 VC 100	150 VC 150	100 40 000	100 110 000
}	Office	130 VG.100	ISO VG.150	150 VG.220	ISO VG.320
Rust Test, IP 135B		No Rust	-	·	No Rust
(Based on 1.45% wt)					
4 ball Wear Test.					
IP 239 1.45% wt					
1 hr, 20 kg, 1800 rpm;					,
MWSD	mm	0.28	0.32	0.32	0.27
ISL	kg	130	120	160	110
Weld Point	kg	220	240	250	250
1.50% wt					
1 hr, 20 kg. 1800 rpm;					
MWSD	mm	0.35	0.30	•	•
ISL'	kg	130	115	-	-
Weld Point	kg	240	240	-	-
<u>1.55% wt</u>			-		
1 hr, 20 kg, 1800 rpm;					
MWSD	kg	0.35	0.30	-	
ISL	kg	140	140	-	-
Weld Point	kg	240	240	-	-

It will be seen from the above Table that there was provided satisfactory gear oil performance at an additive combination of 1.45% for ISO V.G. 150 and above and an additive treat rate of 1.50% for ISO V.G. 100.

- 9 -

TABLE 3

Test	Method	Units	Gear Oil V.G. 100 + 1.5% Additive Composition	V.G. Limits
Viscosity at 40°C	IP 71	cSt	103.0	9.50 - 105.0
Viscosity at 100°C	IP 71	cSt	11.3	•
Viscosity Index	IP 226		95	95 min
Air Release Value, 50°C	IP 313	mins	14.1	18 max
Foam Sequence 1:-	IP 146			
Tendency		mls	nil	75 max
Stability		mls	nil	Trace max
Flash Point, PMC	IP 34	°C	198	190 min
Sulphur content	ICP	%	1.24	-
Phosphorus content	ICP	%	0.03	-
Copper Corrosion	IP 154			
3 hrs at 120°C		-	1b	Class 3 max
Rust Test	IP 135B	-	No Rust	No Rust
Oxidation at 121°C	ASTM D2893			
Increase in Viscosity at 100°C		%	4.4	6 max
4 Ball Wear Test	IP 239			
1 hr, 20 kg 1800 rpm;				
MWSD		mm	0.35	0.35 max
ISL Weld Point		kg kg	130 250	- 250 min
FZG, Fail Stage	DIN 51354	-	> 12	12 min
Timken OK Load	IP 240	ibs	65	60 mins

Exampl 5 Use of Modified Additive Compositions

Further test fluids were prepared containing additive compositions prepared using the methods described in Examples 1 and 2 and using two alternative amine phosphates (one prepared using ARMEEN O and one prepared using iso-nonyloxypropylamine) and polysulphide and/or dialkyl phosphite additives in an amount of 1.0%/0.09 and 0.1%. The compositions were tested for anti-wear performance in accordance with IP 239. The compositions and results are given in Table 3 below. The base fluid was B.P. 150 Solvent neutral.

TABLE 4

	Ī,	2					7		
	ļ	-	-	3 4	⁴	5	6	7 1	9
1) n-heptylacid phosphate + isononyloxy- propylamine (WT.%)	-	-	-		-	-	0.17	0.17	0.17
2) n-heptylacid phospate + PRIMENE 81R (wt.%)	0.17	0.17	-	•	0.17	-	-	-	-
3) n-heptylacid phosphate + ARMEEN 0 (wt.%)		-	0.17	0.17	-	-	-		-
polysulphide (wt.%)	1.0	1.0	1.0	1.0	1.0	1.0	1.0	1.0	1.0
phosphite (wt.%)	0.1	0.09	0.1	0.09	-	-		0.10	0.09
mean wear scar diameter (mm)	0.324	0.292	0.326	0.320	0.324	0.310	0.323	0.338	0.323

- 11 -

It can be seen from the above results that the preparation in Example 1, together with a dialkyl hydrogen phosphite and an amine phospate prepared using ARMEEN O and isononyloxypropylamine produce low anti-wear performance in the IP 239 Shell 4-ball test.

Example 6 Use of Additive Composition in Synthetic Ester Hydraulic Fluids

The additive composition described in Example 2 above was blended into synthetic ester base fluids of the grades given in Table 5 below and subjected to a series of standard tests as given in the Table.

TABLE 5

Grade HE	32	46	68	Limits
ISO Viscosity Grade VG	32	46	68	32/46/68
Pour Point, °C max	- 57	-51	- 51	-18/-15/-12
Steel Corrosion, max (DIN 51585)	Pass	Pass	Pass	Class 0-Method A
Copper Corrosion max (DIN 51759)	1b	1b	1b	Class 2-3 hours at 100°C
Air Release 50°C mins, max (DIN 51381)	<0.5	<0.5	1.7	5/10/10
Demulsibility, 54°C, mins, max (DIN 51549)	10	30	45	40/40/60
FZG, A8.3/90: Load Stage Fail, min	>12	>12	>12	10
Vane Pump Wear, mg, max (DIN 51389/2)		(1)		
Ring} @ 250 hours (1)		2.5	<120	120
Vanes		7.1	<30	30

				
Baader 95°C @ 3 days (DIN 51554/3)	(2)	(2)	(2)	(2)
Viscosity change @ 40°C,% max	0.7	-0.6	2.7	+20
mg KOH/gm change, max	-0.15	0.07	-0.08	+0.08
SRE-NBR 1 - seals (DIN 3538/1)				
Volume change, % max	10	10	10	-3/+10
Hardness change, max	-9.7	-9.6	-9.4	± 10
Foam Volume, mi/mi @ sec. max				
(DIN 51566)]		
at 20°C	60/0@35	0	0	150/0@120
at 95°C	0	0	40/0@120	75/0@120
at 25°C (after 95°C)	60/0@29	0	0	150/0@120
Neutralisation Number	0.34	0.38	0.37	
mgKOH/gm (DIN 51558/1)				
Brugger, N/mm², min	43.8	45	>30	30
Biodegradability (CECL33T82),	>95	>95	>85	70
% min		:] .	
Viscosity Index	151	1.72	184	-
Copper Corrosion (DIN 51759A)	1b	1b	1b	Class 1
@ 125°C, max				
Steel Corrosion (DIN 51585B)	Pass	Pass	Pass	Pass
4-Ball (ASTM D2783) Weld				
Point, kg	200	200	240	-
Load wear index, kg	>45	52	49.5	45
1 hr wear @ 30 kg, MWSD, mm	>0.35	0.330	0.360	
Seal Tests @ 168 hrs				
(i) Medium NBR @ 80°C				
Volume Change, %	6.6	6.4	6.0	-3/+10
Hardness Change	-7.3	-7.0	-6.9	-10/+10
(ii) Flurocarbon @100°C				
Volume Change, %	1.3	1.5	1.2	-3/+10
Hardness Change	-1.7	-2	-2	-10/+10
(iii) Polyurethane @ 80°C				
Volume Change, %	8.2	8.0	7.9	-3/+10
Hardness Change	-10	-9.0	-9.5	-10/+10

Stear stability %, max (DIN	-	-4.3	•	± 10	
51382) 100°C 250 cycles, loss	<u></u>				
(1) DIN 51389/2 @ 250 hours	minaral a		_		

It will be seen from Table 5 that the fluids meet the Baader oxidation test requirement for synthetic ester base fluid hydraulic lubricants with very low viscosity and acid number increase after test. They meet the following lubricant specifications where the oxidation testing used was that developed for ester base fluids.

- DIN 51524, part 2
- > SEB 181 222
- Ford U.MC 006-8004
- ➤ Brugger

The lubricants have been found to provide excellent corrosion protection, extreme pressure and anti-wear with a passing result in the Vickers 104C 250 hour vane pump test and very high FZG load stage performance. They are compatible with nitrile, fluorocarbon and polyurethane sealing material and their high viscosity index, low pour point and good filtration ensures that the lubricant properties are excellent.

It has been found that, when used with the applicants' water based metalworking fluids the lubricants show excellent separation properties. Their biodegradability of greater than 95% for the ISO VG 32 and 46 grade and greater than 85% for the ISO VG grade by CEC L33 T82 minimises their impact on the environment as do the properties of being heavy metal, chlorine free and ashless.

Example 7 Use of Additive Composition in Synthetic Ester Gear Oil

- 14 -

The additive composition described in Example 2 above was blended into synthetic ester base fluids of the grades given in Table 6 below and subjected to a series of standard tests as given in the Table.

TABLE 6

	 	T				
Grade CLP	46	68	100	150	220	Limits
						46/68/
		1				100/150/
					1	220
ISO Viscosity Grade VG	46	68	100	150	220	DIN
						515550+
						51562/1
Pour Point, °C, max (ISO	-33	-27	-27	-27	-27	-15/-15/-
3016)						12/-9/6
Copper Corrosion (DIN	1b	1b	1b	1b	1b	
51759)			1			
Steel Corrosion, max	Pass	Pass	Pass	Pass	Pass	Class O
(DIN 51355A)				•		
Ageing, Behaviour (DIN						
51586)	<u> </u>]		1	·	
Viscosity Increase @ 100°C	<6	5.6	<6	<6	<5.7	6
max	<0.1	<0.1	<,0.1	<0.1	<0.1	0.1
Precipation Number ml max]
FZG A8.3/90: Load Stage	-					
Fail min	>12	>12	>12	>12	>12	12
Weight loss mg/kwh max	0.028	<0.3	<0.3	0.006	<0.3	0.3
SRE-NBR 1 - seals (DIN			-			
53538/3)						
Volume change, %	8.7	8.9	<8.9	<8.9	7.8	
Hardness change	-6	-5	<-5	<-5	-4	
Brugger, N/mm², min	56.1	51.9	>50	>50	66.6	50
Biodegradability, % (CE						
33T82) max	>95	>95	>90	>85	>85	70
Timkin OK Load, lbs, min						
(ASTM D2783)	>60	85	>60	>60	>90	60

TABLE 6 - Continued

rest to the second seco						
4-ball (ASTM D 2783)						
Weld Point, kg, min	260	280	>250	>250	260	250
Load Wear Index min	61.8	61.9	>45	>45	60.6	45
1 hr Wear @ 20kg MWSD,	-	0.304	<0.35	<0.35	0.282	0.35
mm, max (ASTM D2266)		ļ				
Steel Corrosion (ASTM	Pass	Pass	Pass	Pass	Pass	Pass
D665B)						
Foam Suppression, ml/ml @						
sec, max (ASTM D896) (1)	0	0	-	-	0	75/0 @ 120
(2)	70/0 @ 19	70/0 @ 34		_	20/0 @ 120	
(3)	70/0 @ 12	10/0 @ 4	-	-	20/0 @ 6	75/0 @ 120
	0					
Viscosity Index	187	184	>184	>184	194	-
Copper Corrosion (DIN	1b -	1b	1 b	1b	1b	Class 2
51759) @ 125°C max	_					
Timkin Weight Loss, mg, max						
25 lbs	0.3	-	! - .	•	-	6
30 lbs	-	0.5	-	-	-	6
35 lbs	-	-	-	0	-	6
40 lbs	-	•	0	•	0.4	6
Air Release 50°C max (DIN	3.9	4.1	•	-	21.7	10/10/20
51381			_			30/30
Demulsification 54°C min	23	28	<25	<25	25	40/60/60
(DIN51599) max			⊕ 82°C	@ 82°C	@ 82°C	<i> - -</i>
Seal Tests @ 168 hrs max						
(i) Medium NBR @ 80°C						
Volume change %	-1.9	-1.4	-	-	-0.8	+3/+10
Hard Change	1	2	-		4	-10/+10
(ii) Flurocarbon @ 100°C						
Volume Change %	-0.9	0.2	-	-	0	+3/+10
Hardness Change	-2	-1			0	-10/+10

(iii) Polyurethane @ 80°C						
Volume Change %	0.2	0.6		-	0	+3/+10
Hardness Change	0	-1	-	-	-1	-10/+10
Stear stability %, max						
(CEC-4-45-T-93/A) 100°C		}				
loss	<10	<10	<10	<10	-8.7	± 10

It will be seen from Table 6 that the lubricants meet the following internationally recognised specifications that were originally designed for mineral oil based fluids.

- > DIN 51517, part 3
- ➤ SEB 181 -222
- > Ford U-MC 002-8008
- ➤ U.S. Steel 224
- Brugger

We have found that the lubricants provide excellent corrosion protection, extreme pressure and anti-wear performance with an FZG load stage pass greater than 12. They are compatible with nitrile, fluorocarbon and polyurethane sealing material and their high viscosity index, low pour point and good filtration ensures that their lubrication properties are excellent.

Biodegradability of greater than 85% for the 220 grade and greater than 95% for the lower viscosity lubricants minimises the impact on the environment as does the properties of being heavy metal, chlorine free and ashless.

CLAIMS

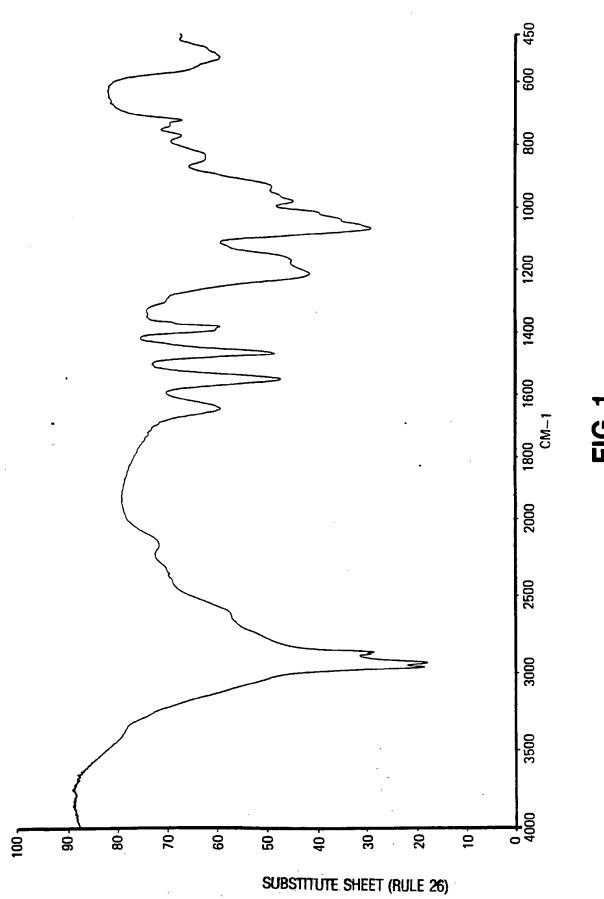
- 1. An amine phosphate which is the reaction product of at least one n-heptyl acid phosphate with at least one aliphatic amine having at least 9 carbon atoms.
- 2. An amine phosphate according to claim 1 wherein the aliphatic amine has at least 12 carbon atoms.
- 3. An amine phosphate according to claim 2 wherein the amine is a p-amine.
- 4. An amine phosphate according to claim 3 wherein the amine is a C₁₂₋₁₄ alkylamine, a C₁₈ alkenylamine, or an alkoxylalkylamine.
- 5. A zinc-free anti-wear additive composition for an industrial fluid comprising as an active anti-wear ingredient at least one amine phosphate according to any one of the preceding claims.
- 6. An additive composition according to claim 5 wherein the amine phosphate component is present as a monoheptyl phosphate or a di-heptylphosphate or a mixture thereof.
- 7. An additive composition according to claim 5 or 6 further comprising additives selected from polysulphides, dialkylhydrogen phosphites, tri-alkyl phosphates, tri-aryl phosphates, alkyl dithiadiazoles, benzotriazoles, alkyl p-amines, antifoam agents and anti-oxidants.
- 8. An additive composition according to claim 5, 6 or 7 in which the amine phosphate component has been prepared in situ by separately

adding to a suitable diluent the acid phosphate and the amine and blending before adding the remaining additives.

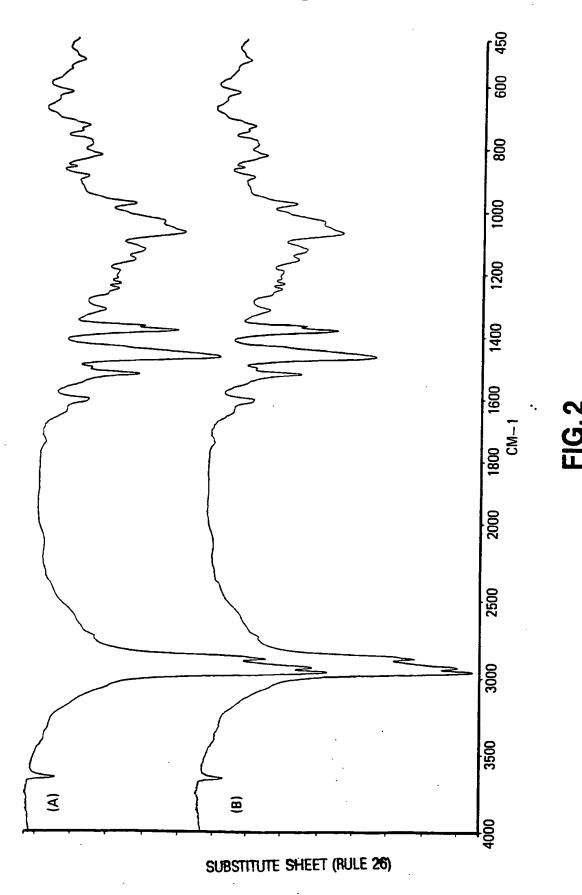
- 9. An additive composition according to claim 8 including a dialkyl hydrogen phosphite which has been added after addition of other additives.
- 10. An industrial fluid comprising a mineral base oil or a natural or synthetic ester base fluid incorporating an additive composition according to any one of claims 6 to 9.
- 11. An industrial fluid intended for hydraulic use wherein the amine phosphate component of the additive composition is present in an amount of from about 0.05 to about 0.09 weight per cent.
- 12. An industrial fluid intended for gear oil use wherein the amine phosphate component of the additive composition is present in an amount of from about 0.09 to about 0.17 weight percent.

WO 95/20592









INTERNATIONAL SEARCH REPORT

Interns 11 Application No PCT/GB 95/00148

A. CLASSI	FIGATION OF SUBJECT MATTER C07F9/09 C07F9/22 C10M147/10,133:06,133:44,135:2 C10N30:06,C10N40:04,C10N40:08	7/08 C10M141/10 20,137:02,137:04,137:08)	,	
According t	to International Patent Classification (IPC) or to both national class	suffication and IPC		
	SEARCHED			
Minimum d IPC 6	locumentation searched (classification system followed by classific ${\tt C10M}$	ation symbols)		
	tion scarched other than minimum documentation to the extent the		carched	
	MENTS CONSIDERED TO BE RELEVANT			
		relevant possoce	Relevant to claim No.	
Category *	Citation of document, with indication, where appropriate, of the	relevant passages		
X	EP,A,0 460 317 (ETHYL PETROLEUM 11 December 1991 see page 2, line 10 - line 14 see page 2, line 30 - line 31 see page 4, line 12 - page 5, 1		1-7	
Х	US,A,3 425 815 (R.H. ROSENWALD) 1969 see column 2, line 38 - line 42 see column 3, line 5 - line 7 see column 3, line 25 see column 4, line 11 - line 12	4 February	· 1-6	
A	WO,A,87 07637 (THE LUBRIZOL COR 17 December 1987 see page 16, paragraph 1 -parag see page 25, line 3 - line 11		1-5,7-12	
Fur	ther documents are listed in the continuation of box C.	X Patent family members are listed	in annex.	
* Special categories of cited documents: A* document defining the general state of the art which is not considered to be of particular relevance		"I" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention		
"E" earlier document but published on or after the international filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)		 "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the 		
O' docur	ment referring to an oral disclosure, use, exhibition or recans	document is combined with one or a ments, such combination being obvi	nore other such docu-	
P document published prior to the international filing date but later than the priority date claimed		in the art. ** document member of the same patent family		
Date of th	e actual completion of the international search	Date of mailing of the international	search report	
	27 April 1995	1 1. 05.	95	
Name and	i mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+ 31-70) 340-2040, Tx. 31 651 epo nl, Ear. (+ 31-70) 340-3116	Authorized officer Hilgenga, K		

Form PCT/ISA/210 (second sheet) (July 1992)

INTERNATIONAL SEARCH REPORT

Intern: 31 Application No
PCT/GB 95/00148

ategory *	Auon) DOCUMENTS CONSIDERED TO BE RELEVANT		
~ g∪i y	Citation of document, with indication, where appropriate, of the r	relevant passages	Relevant to claim No.
	WO,A,95 06094 (EXXON RESEARCH AND ENGINEERING COMPANY) 2 March 1999 see claims 1,6,7,9	1-5,7,8, 10-12	
	•		
	•	:	
	•		
		•	
		· ·	
		•	
		·	
		·	

2

Form PCT/ISA/210 (continuation of second sheet) (July 1992)

INTERNATIONAL SEARCH REPORT

Intern al Application No PCT/GB 95/00148

Patent document cited in search report	Publication date		family ber(s)	Publication date
EP-A-0460317	11-12-91	AU-B-	632942	14-01-93
		AU-A-	7818191	12-12-91
		CA-A-	2044091	09-12-91
		DE-D-	69004083	25-11-93
		JP-A-	4226196	14-08-92
US-A-3425815	04-02-69	BE-A-	680542	17-10-66
		CH-A-	498925	15-11-70
		DE-A-	1545508	14-01-71
		FR-A-	1478280	10-07-67
		GB-A-	1139186	
		NL-A-	6606121	08-11-66
WO-A-8707637	17-12-87	AU-B-	595358	29-03-90
		AU-A-	7694987	11-01-88
		CA-A-	1304072	23-06-92
		DE-D-	3789382	21-04-94
		DE-T-	3789382	20-10-94
	•	EP-A-	0309481	05-04-89
		HK-A-	895	13-01-95
		JP-T-	1502987	12-10-89
		US-A-	5354484	11-10-94
		ZA-A-	8704205	15-12-87
WO-A-9506094	02-03-95	NONE		

Form PCT/ISA/210 (patent family annex) (July 1992)

	у	